

00500

701 FIFTH AVE

**SUITE 6300** 

### United States Patent and Trademark Office

COMMISSIONER FOR

UNITED STATES PATENT AND TRADEMARK

APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ALIORNEY DOCKEEN! MIBER

09 853.833

SEATTLE, WA 98104-7092

05 10 2001

Salvatore Leonardi

856063.694

**CONFIRMATION NO. 6456** 

**FORMALITIES LETTER** 

OC000000006290575

Date Mailed: 07/12/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$294.
  - \$54 for 3 total claims over 20.

SEED INTELLECTUAL PROPERTY LAW GROUP PLLC

- \$240 for 3 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1134.

A copy of this notice MUST be returned with the reply.

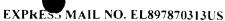
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

용용공동 9999

64



PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> [+] E type a plus sign (+) inside this box —> [+] Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL **FORM**

(To be used for all correspondence

To require a to respond to a concentration	mornation driess it displays a valid Olvid Control Humber
Application Number	09/853,833
Filing Date	May 10, 2001
First Named Inventor	Salvatore Leonardi
Group Art Unit	2811
Examiner Name	
Attorney Docket No.	856063.694

after init	tial filing)	Examiner Name			
		Attorney Docket No.	856063.694		
	ENCLO	OSURES (check all that app	)(v)		
X   Fee Transmittal   X   Fee Attache   Amendment/Res   After Final   Affidavits/de   Extension of Tim   Express Abando   Request   Information Discl   Statement; Form   Cited References   X   Certified Copy of   Document(s)   X   Response to Mis   under 37 C.F.R.   Response to Mis   Parts/Incomplete	Form d sponse  claration(s) le Request nment  losure PTO-1449 s Priority  sing Parts 1.52 or 1.53 sing Application	Assignment Papers (for an Application) Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Small Entity Statement Request for Refund	CD(s), Number of CD(s)  After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): Copy of Notice to File Missing Parts Application Data Sheet		
Remarks					
	SIGNATURE OF	APPLICANT, ATTORNEY,	OR AGENT		
Individual Name E	. Russell Tarleton		00500 PATENT TRADEMARK OFFICE		
Signature	~	iii Tes			
Date S	eptember 6, 2001				
I hereby certify that the with sufficient postag Washington, D.C. 20	nis correspondenc e as first class ma	il in an envelope addressed t	United States Postal Service to: Commissioner for Patents,		
Typed or printed nam					
Signature			Date:		

### **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 1,134

	Approved for use through 10/31/2002. OMB 0651-0032
	Complete if Known
Application Number	09/853,833
Filing Date	May 10, 2001
First Named Inventor	Salvatore Leonardi
Examiner Name	
Group Art Unit	2811
Attorney Docket No	856063.694

	METHOD OF PAYMENT					FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees					3. ADDITIONAL FEES							
	redit any overpay	ments to		<u>Large</u>			<u>rall</u>					
Deposit Account	19-1090			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee _ Paid			
Number				105	130	205	65	Surcharge - late filing fee or oath	130			
Deposit Account	Seed Intell	ectual Prope	rty Law Group PLLC	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
Name			- Coupres	139	130	139	130	Non-English specification				
			der 37 CFR 1 16 and 1 17	147	2.520	147	2 520	For filing a request for ex parte reexamination				
	dit any overpaym nt claims small ei		Account Number above	112	920°	112	920*	Requesting publication of SIR prior to Examiner action				
	nt Enclosed	inity status Se	e 37 OFR 1 27	113	1 840*	•13	1 840*	Requesting publication of SIR after Examiner action				
				115	110	215	55	Extension for reply within first month				
∑ Che	ck Credit o		oney Other order	115	390	216		Extension for reply within second month				
	FEE C	ALCULATION		117	890	217	445	Extension for reply within third month				
BASIC FILIN				118	1.390	218	695	Extension for reply within fourth month				
Fee Fee	Small Entity Fee Fee	Fee Description		128	1,890	228	945	Extension for reply within fifth month				
	Code (\$)	ree Description	Fee Paid	119	310	219	155	Notice of Appeal				
101 710	201 355	Utility filing fee	710	120	310	220	155	Filing a brief in support of an appeal				
106 320	206 160			121	270	221	135	Request for oral hearing				
107 490	206 160 207 245	Design filing fe Plant filing fee		138	1.510	138	1.510	Petition to institute a public use proceeding				
108 710		Reissue filing for		140	110	240	55	Petition to revive – unavoidable				
114 150	214 75	Provisional filin	g fee	141	1,240	241	620	Petition to revive – unintentional				
		SUBTOTAL	(1) (\$) 710	142	1 240	242	620	Utility issue fee (or reissue)				
EXTRA CLAIN	1 FEES			143	440	243	220	Design issue fee				
		Extra	Fee from Fee	144	600	244	300	Plant issue fee				
		Claims	below Paid	122	130	122	130	Petitions to the Commissioner				
otal laims 23	-20** =	3 ×	18 = 54	123	130	123	130	Petitions related to provisional applications				
dependent laims 6	-3** =	3 ×	80 = 240	126	180	126	180	Submission of Information Disclosure Stmt				
ultiple ependent <u>Large Entity</u>	Small Entity	×	= 0	581	40	581	40	Recording each patent assignment per property (times number of properties)				
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Descrip	tion	146	710	246		Filing a submission after final rejection (37 CFR § 1.129(a))				
103 18 102 80	203 9 202 40	Claims in ex-	cess of 20 claims in excess of 3	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))				
104 270	204 135	Multiple dep	endent claim, if not paid	179	710	279		Request for Continued Examination (RCE)				
109 80	209 40	original pa		169	900	169	900	Request for expedited examination of a				
110 18	210 9	** Reissue c over origin	laims in excess of 20 and all patent	Other fee	specify)			design application				
	Ci	JBTOTAL (2)	(\$) 294	•Baduss	bu Da-i	a Eduard	D					
	30	DD 101AL (2)	(4) 294	*Reduced	by Basic	criling i	ree Pai	SUBTOTAL (3) (\$) 130				

SUBMITTED BY Name Registration No Attorney/Agent) (Pnnt/Type) Firm Name/ E. Russell Tarleton 31,800 Address Course Since Signature September 6, 2001



00500 PATENT TRADEMARK OFFICE

D \NrPortbl\\Manage\ATHENAP\213250\_1 DOC

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

...3:

Salvatore Leonardi

Application No.

09/853,833

Filed

May 10, 2001

For

AN INTEGRATED DEVICE WITH A TRENCH ISOLATION

STRUCTURE, AND FABRICATION PROCESS THEREFOR

Art Unit

2811

Docket No.

856063.694

Date

September 6, 2001

**Box Missing Parts** Commissioner for Patents Washington, DC 20231

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

#### Commissioner for Patents:

In response to the Notice to File Missing Parts dated July 12, 2001, please find enclosed the following documents:

<u>X</u>	Fee
<u>X</u>	Oath/Declaration and Power of Attorney
<u>X</u>	Copy of Notice to File Missing Parts
	Sheets of Formal Drawings
	Other

Respectfully submitted.

Salvatore Leonardi

Seed Intellectual Property Law Group PLLC

E. Russell Tarleton

Registration No. 31,800

ERT:aep

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,134

· · · · · · · · · · · · · · · · · · ·	Approved for disc tillodgil 10/31/2002. OMB 0651-0032
	Complete if Known
Application Number	09/853,833
Filing Date	May 10, 2001
First Named Inventor	Salvatore Leonardi
Examiner Name	
Group Art Unit	2811
Attorney Docket No.	856063 694

	T- 0		HOD OF PAYME							FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.				3. ADDITIONAL FEES Large Entity Small								
Ac	posit count	19-1090	)	_			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
	mber						105	130	205		Surcharge - late filing fee or oath	130
Acc	posit count	Seed In	tellectual Prop	erty Law	Gro	UD PLLC	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Na <sub>i</sub>	me [		·	· ·			139	130	139	130	Non-English specification	
	Charge A	ny Addition	al Fee Required L	Inder 37 CF	R 1.	16 and 1.17	147	2.520	147	2,520	For filing a request for ex parte reexamination	
1			lyment to Deposit				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		Enclosed			1.27		113	1.840*	113	1,840*	Requesting publication of SIR after Examiner action	
_ r	¬ •		П		П		115	110	215	55	Extension for reply within first month	
Ł	<b>X</b> Check	U Cre		Money	∐ Oti	her	116	390	216	195	Extension for reply within second	
<del></del>				Order			4				month	
		FEI	CALCULATION	l			117	890	217	445	Extension for reply within third month	
BASIC     Large Entir	FILING tv Sn	FEE					118	1,390	218	695	Extension for reply within fourth month	
		ee Fee	Fee Descript	ion			128	1,890	228	945	Extension for reply within fifth month	
		de (\$)			F	ee Paid	119	310	219	155	Notice of Appeal	
101 7	10 2	201 355	Utility filing fee	•	7	10	120	310	220	155	Filing a brief in support of an appeal	
106 3	320 2	206 160	Design filing fo	ee	<u> </u>	·•	121	270	221	135	Request for oral hearing	
		207 245 208 355	Plant filing fee	•			138	1,510	138	1,510	Petition to institute a public use proceeding	
	-	.06 355 .14 75	Reissue filing		$\perp$		140	110	240	55	Petition to revive – unavoidable	
,		.14 75	Provisional fili		<u>_</u>		141	1.240	241	620	Petition to revive – unintentional	
			SUBTOTAL	. (1)	(\$	710	142	1,240	242	650	Utility issue fee (or reissue)	
2. EXTRA	CLAIM F	EES					143	440	243	220	Design issue fee	
			Extra	Fee from		Fee	144	600	244		Plant issue fee	
Total	T 00	7	Claims	below	ר	Paid	122	130	122		Petitions to the Commissioner	
Claims	23	-20**	= 3 ×	18	=	54	123	130	123	130	Petitions related to provisional applications	
Independent Claims	6	-3**	= 3 ×	80	=	240	126	180	126		Submission of Information Disclosure Stmt	
Multiple Dependent			х		=	0	581	40	581	40	Recording each patent assignment per property (times number of	
Large Ent		Small Entit	-				J	2			properties)	
Code		Fee Fe Code (\$	FAG Descrip	ption			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
103 102	18 80	203	9 Claims in ex				149	710	249	355	For each additional invention to be	
_	270	202 2	<ul><li>Independen</li><li>Multiple dep</li></ul>				179	710	279	355 1	examined (37 CFR § 1.129(b))  Request for Continued Examination	
109	80	209 4	0 ** Reissue i original p	independen			169	900	169		(RCE) Request for expedited examination of a	
110	18	210	Reissue	claims in ex nal patent	cess	of 20 and	Other fee (:	specify) _	<del></del>		design application	
			SUBTOTAL (2)	(\$) 294			*Reduced	by Basic	Filing F	ee Paid	SUBTOTAL (3) (\$) 130	
or number p	previously	paid, if great	er, For Reissues, s	ee above	-							

SUBMITTED BY Name (Pnnt/Type) E. Russell Tarleton Registration No. 31,800 Attorney/Agent) Firm Name/ Address Signature September 6, 2001

00500

PATENT TRADEMARK OFFICE

D WrPortbl\Manage\ATHENAP\213250\_1 DOC